

König's Lemma ¹

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Summary. A continuation of [5]. The notions of finite-order trees, successors of an element of a tree, and chains, levels and branches of a tree are introduced. Those notions are used to formalize König's Lemma which claims that there is a infinite branch of a finite-order tree if the tree has arbitrary long finite chains. Besides, the concept of decorated trees is introduced and some concepts dealing with trees are applied to decorated trees.

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The articles [12], [7], [10], [4], [6], [9], [2], [1], [3], [8], [11], [13], and [5] provide the notation and terminology for this paper. For simplicity we adopt the following rules: x, y are arbitrary, W, W_1, W_2 denote trees, w denotes an element of W , X denotes a set, f, f_1, f_2 denote functions, D, D' denote non-empty sets, k, k_1, k_2, m, n denote natural numbers, v, v_1, v_2 denote finite sequences, and p, q, r denote finite sequences of elements of \mathbb{N} . The following propositions are true:

- (1) For all v_1, v_2, v such that $v_1 \preceq v$ and $v_2 \preceq v$ holds v_1 and v_2 are comparable.
- (2) For all v_1, v_2, v such that $v_1 \prec v$ and $v_2 \preceq v$ holds v_1 and v_2 are comparable and v_2 and v_1 are comparable.
- (4)² If $\text{len } v_1 = k + 1$, then there exist v_2, x such that $v_1 = v_2 \hat{\ } \langle x \rangle$ and $\text{len } v_2 = k$.
- (5) $(v_1 \hat{\ } v_2) \upharpoonright \text{Seg len } v_1 = v_1$.
- (6) $\text{Seg}_{\preceq}(v \hat{\ } \langle x \rangle) = \text{Seg}_{\preceq}(v) \cup \{v\}$.

The scheme *TreeStruct_Ind* concerns a tree \mathcal{A} , and a unary predicate \mathcal{P} , and states that:

for every element t of \mathcal{A} holds $\mathcal{P}[t]$

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²The proposition (3) was either repeated or obvious.

provided the following requirements are met:

- $\mathcal{P}[\varepsilon]$,
- for every element t of \mathcal{A} and for every n such that $\mathcal{P}[t]$ and $t \hat{\ } \langle n \rangle \in \mathcal{A}$ holds $\mathcal{P}[t \hat{\ } \langle n \rangle]$.

We now state the proposition

- (7) If for every p holds $p \in W_1$ if and only if $p \in W_2$, then $W_1 = W_2$.

Let us consider W_1, W_2 . Let us note that one can characterize the predicate $W_1 = W_2$ by the following (equivalent) condition:

- (Def.1) for every p holds $p \in W_1$ if and only if $p \in W_2$.

One can prove the following propositions:

- (8) If $p \in W$, then $W = W(p/(W \upharpoonright p))$.
 (9) If $p \in W$ and $q \in W$ and $p \not\leq q$, then $q \in W(p/W_1)$.
 (10) If $p \in W$ and $q \in W$ and p and q are not comparable, then $W(p/W_1)(q/W_2) = W(q/W_2)(p/W_1)$.

A tree is finite-order if:

- (Def.2) there exists n such that for every element t of it holds $t \hat{\ } \langle n \rangle \notin$ it.

We now define three new constructions. Let us consider W . A subset of W is said to be a chain of W if:

- (Def.3) for all p, q such that $p \in$ it and $q \in$ it holds p and q are comparable.

A subset of W is called a level of W if:

- (Def.4) there exists n such that it = $\{w : \text{len } w = n\}$.

Let us consider w . The functor $\text{succ } w$ yielding a subset of W is defined by:

- (Def.5) $\text{succ } w = \{w \hat{\ } \langle n \rangle : w \hat{\ } \langle n \rangle \in W\}$.

One can prove the following propositions:

- (11) For every level L of W holds L is an antichain of prefixes of W .
 (12) $\text{succ } w$ is an antichain of prefixes of W .
 (13) For every antichain A of prefixes of W and for every chain C of W there exists w such that $A \cap C \subseteq \{w\}$.

Let us consider W, n . The functor n_W yielding a level of W is defined by:

- (Def.6) $n_W = \{w : \text{len } w = n\}$.

We now state several propositions:

- (14) $w \hat{\ } \langle n \rangle \in \text{succ } w$ if and only if $w \hat{\ } \langle n \rangle \in W$.
 (15) If $w = \varepsilon$, then $1_W = \text{succ } w$.
 (16) $W = \bigcup \{n_W\}$.
 (17) For every finite tree W holds $W = \bigcup \{n_W : n \leq \text{height } W\}$.
 (18) For every level L of W there exists n such that $L = n_W$.

Now we present three schemes. The scheme *AuxSch* concerns a tree \mathcal{A} , and a unary predicate \mathcal{P} , and states that:

$\{w : \mathcal{P}[w]\}$, where w ranges over elements of \mathcal{A} , is a subset of \mathcal{A} for all values of the parameters.

The scheme *FraenkelCard* concerns a non-empty set \mathcal{A} , a set \mathcal{B} , and a unary functor \mathcal{F} and states that:

$$\{\mathcal{F}(w) : w \in \mathcal{B}\} \leq \overline{\mathcal{B}}, \text{ where } w \text{ ranges over elements of } \mathcal{A}$$

for all values of the parameters.

The scheme *FraenkelFinCard* concerns a non-empty set \mathcal{A} , a set \mathcal{B} , and a unary functor \mathcal{F} and states that:

$$\text{card}\{\mathcal{F}(w) : w \in \mathcal{B}\} \leq \text{card } \mathcal{B}, \text{ where } w \text{ ranges over elements of } \mathcal{A}$$

provided the parameters meet the following requirement:

- \mathcal{B} is finite.

The following four propositions are true:

- (19) If W is finite-order, then there exists n such that for every w holds $\text{succ } w$ is finite and $\text{card succ } w \leq n$.
- (20) If W is finite-order, then $\text{succ } w$ is finite.
- (21) \emptyset is a chain of W .
- (22) $\{\varepsilon\}$ is a chain of W .

Let us consider W . A chain of W is said to be a branch of W if:

- (Def.7) for every p such that $p \in$ it holds $\text{Seg}_{\preceq}(p) \subseteq$ it and for no p holds $p \in W$ and for every q such that $q \in$ it holds $q \prec p$.

Let us consider W . We see that the branch of W is an non-empty chain of W .

In the sequel C will be a chain of W and B will be a branch of W . The following propositions are true:

- (23) If $v_1 \in C$ and $v_2 \in C$, then $v_1 \in \text{Seg}_{\preceq}(v_2)$ or $v_2 \preceq v_1$.
- (24) If $v_1 \in C$ and $v_2 \in C$ and $v \preceq v_2$, then $v_1 \in \text{Seg}_{\preceq}(v)$ or $v \preceq v_1$.
- (25) If C is finite and $\text{card } C > n$, then there exists p such that $p \in C$ and $\text{len } p \geq n$.
- (26) For every C holds $\{w : \bigvee_p [p \in C \wedge w \preceq p]\}$ is a chain of W .
- (27) If $p \preceq q$ and $q \in B$, then $p \in B$.
- (28) $\varepsilon \in B$.
- (29) If $p \in C$ and $q \in C$ and $\text{len } p \leq \text{len } q$, then $p \preceq q$.
- (30) There exists B such that $C \subseteq B$.

Now we present two schemes. The scheme *FuncExOfMinNat* concerns a set \mathcal{A} , and a binary predicate \mathcal{P} , and states that:

there exists f such that $\text{dom } f = \mathcal{A}$ and for every x such that $x \in \mathcal{A}$ there exists n such that $f(x) = n$ and $\mathcal{P}[x, n]$ and for every m such that $\mathcal{P}[x, m]$ holds $n \leq m$

provided the following condition is met:

- for every x such that $x \in \mathcal{A}$ there exists n such that $\mathcal{P}[x, n]$.

The scheme *InfiniteChain* concerns a set \mathcal{A} , a constant \mathcal{B} , a unary predicate \mathcal{P} , and a binary predicate \mathcal{Q} , and states that:

there exists f such that $\text{dom } f = \mathbb{N}$ and $\text{rng } f \subseteq \mathcal{A}$ and $f(0) = \mathcal{B}$ and for every k holds $\mathcal{Q}[f(k), f(k+1)]$ and $\mathcal{P}[f(k)]$

provided the parameters meet the following conditions:

- $\mathcal{B} \in \mathcal{A}$ and $\mathcal{P}[\mathcal{B}]$,
- for every x such that $x \in \mathcal{A}$ and $\mathcal{P}[x]$ there exists y such that $y \in \mathcal{A}$ and $\mathcal{Q}[x, y]$ and $\mathcal{P}[y]$.

The following two propositions are true:

- (31) For every tree T such that for every n there exists a chain C of T such that C is finite and $\text{card } C = n$ and for every element t of T holds $\text{succ } t$ is finite there exists a chain B of T such that B is not finite.
- (32) For every finite-order tree T such that for every n there exists a chain C of T such that C is finite and $\text{card } C = n$ there exists a chain B of T such that B is not finite.

A function is said to be a decorated tree if:

(Def.8) $\text{dom } it$ is a tree.

In the sequel T, T_1, T_2 are decorated trees. Let us consider T . Then $\text{dom } T$ is a tree.

Let us consider D . A decorated tree is said to be a tree decorated by D if:

(Def.9) $\text{rng } it \subseteq D$.

Let D be a non-empty set, and let T be a tree decorated by D , and let t be an element of $\text{dom } T$. Then $T(t)$ is an element of D .

One can prove the following proposition

- (33) If $\text{dom } T_1 = \text{dom } T_2$ and for every p such that $p \in \text{dom } T_1$ holds $T_1(p) = T_2(p)$, then $T_1 = T_2$.

Now we present two schemes. The scheme *DTreeEx* concerns a tree \mathcal{A} , and a binary predicate \mathcal{P} , and states that:

there exists T such that $\text{dom } T = \mathcal{A}$ and for every p such that $p \in \mathcal{A}$ holds $\mathcal{P}[p, T(p)]$

provided the following condition is satisfied:

- for every p such that $p \in \mathcal{A}$ there exists x such that $\mathcal{P}[p, x]$.

The scheme *DTreeLambda* deals with a tree \mathcal{A} and a unary functor \mathcal{F} and states that:

there exists T such that $\text{dom } T = \mathcal{A}$ and for every p such that $p \in \mathcal{A}$ holds $T(p) = \mathcal{F}(p)$

for all values of the parameters.

We now define two new functors. Let us consider T . The functor *Leaves* T yielding a set is defined by:

(Def.10) $\text{Leaves } T = T \circ \text{Leaves } \text{dom } T$.

Let us consider p . The functor $T \upharpoonright p$ yielding a decorated tree is defined by:

(Def.11) $\text{dom}(T \upharpoonright p) = \text{dom } T \upharpoonright p$ and for every q such that $q \in \text{dom } T \upharpoonright p$ holds $(T \upharpoonright p)(q) = T(p \hat{\ } q)$.

The following proposition is true

- (34) If $p \in \text{dom } T$, then $\text{rng}(T \upharpoonright p) \subseteq \text{rng } T$.

Let us consider D , and let T be a tree decorated by D . Then $\text{Leaves } T$ is a subset of D . Let p be an element of $\text{dom } T$. Then $T \upharpoonright p$ is a tree decorated by D .

Let us consider T, p, T_1 . Let us assume that $p \in \text{dom } T$. The functor $T(p/T_1)$ yielding a decorated tree is defined by the conditions (Def.12).

- (Def.12) (i) $\text{dom}(T(p/T_1)) = (\text{dom } T)(p/\text{dom } T_1)$,
(ii) for every q such that
 $q \in (\text{dom } T)(p/\text{dom } T_1)$
holds $p \not\leq q$ and $T(p/T_1)(q) = T(q)$ or there exists r such that $r \in \text{dom } T_1$
and $q = p \wedge r$ and $T(p/T_1)(q) = T_1(r)$.

Let us consider W, x . Then $W \mapsto x$ is a decorated tree.

Let D be a non-empty set, and let us consider W , and let d be an element of D . Then $W \mapsto d$ is a tree decorated by D .

Next we state four propositions:

- (35) If for every x such that $x \in D$ holds x is a tree, then $\bigcup D$ is a tree.
(36) If for every x such that $x \in X$ holds x is a function and for all f_1, f_2 such that $f_1 \in X$ and $f_2 \in X$ holds $\text{graph } f_1 \subseteq \text{graph } f_2$ or $\text{graph } f_2 \subseteq \text{graph } f_1$, then $\bigcup X$ is a function.
(37) If for every x such that $x \in D$ holds x is a decorated tree and for all T_1, T_2 such that $T_1 \in D$ and $T_2 \in D$ holds $\text{graph } T_1 \subseteq \text{graph } T_2$ or $\text{graph } T_2 \subseteq \text{graph } T_1$, then $\bigcup D$ is a decorated tree.
(38) If for every x such that $x \in D'$ holds x is a tree decorated by D and for all T_1, T_2 such that $T_1 \in D'$ and $T_2 \in D'$ holds $\text{graph } T_1 \subseteq \text{graph } T_2$ or $\text{graph } T_2 \subseteq \text{graph } T_1$, then $\bigcup D'$ is a tree decorated by D .

Now we present two schemes. The scheme *DTreeStructEx* deals with a non-empty set \mathcal{A} , an element \mathcal{B} of \mathcal{A} , a unary functor \mathcal{F} yielding a set, and a function \mathcal{C} from $[\mathcal{A}, \mathbb{N}]$ into \mathcal{A} and states that:

there exists a tree T decorated by \mathcal{A} such that $T(\varepsilon) = \mathcal{B}$ and for every element t of $\text{dom } T$ holds $\text{succ } t = \{t \wedge \langle k \rangle : k \in \mathcal{F}(T(t))\}$ and for all n, x such that $x = T(t)$ and $n \in \mathcal{F}(x)$ holds $T(t \wedge \langle n \rangle) = \mathcal{C}(\langle x, n \rangle)$

provided the following condition is satisfied:

- for every element d of \mathcal{A} and for all k_1, k_2 such that $k_1 \leq k_2$ and $k_2 \in \mathcal{F}(d)$ holds $k_1 \in \mathcal{F}(d)$.

The scheme *DTreeStructFinEx* deals with a non-empty set \mathcal{A} , an element \mathcal{B} of \mathcal{A} , a unary functor \mathcal{F} yielding a natural number, and a function \mathcal{C} from $[\mathcal{A}, \mathbb{N}]$ into \mathcal{A} and states that:

there exists a tree T decorated by \mathcal{A} such that $T(\varepsilon) = \mathcal{B}$ and for every element t of $\text{dom } T$ holds $\text{succ } t = \{t \wedge \langle k \rangle : k < \mathcal{F}(T(t))\}$ and for all n, x such that $x = T(t)$ and $n < \mathcal{F}(x)$ holds $T(t \wedge \langle n \rangle) = \mathcal{C}(\langle x, n \rangle)$

for all values of the parameters.

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