


# On the Intersection of Fields $F$ with $F[X]$

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**Summary.** This is the third part of a four-article series containing a Mizar [3], [1], [2] formalization of Kronecker’s construction about roots of polynomials in field extensions, i.e. that for every field  $F$  and every polynomial  $p \in F[X] \setminus F$  there exists a field extension  $E$  of  $F$  such that  $p$  has a root over  $E$ . The formalization follows Kronecker’s classical proof using  $F[X]/\langle p \rangle$  as the desired field extension  $E$  [6], [4], [5].

In the first part we show that an irreducible polynomial  $p \in F[X] \setminus F$  has a root over  $F[X]/\langle p \rangle$ . Note, however, that this statement cannot be true in a rigid formal sense: We do not have  $F \subseteq F[X]/\langle p \rangle$  as sets, so  $F$  is not a subfield of  $F[X]/\langle p \rangle$ , and hence formally  $p$  is not even a polynomial over  $F[X]/\langle p \rangle$ . Consequently, we translate  $p$  along the canonical monomorphism  $\phi : F \rightarrow F[X]/\langle p \rangle$  and show that the translated polynomial  $\phi(p)$  has a root over  $F[X]/\langle p \rangle$ .

Because  $F$  is not a subfield of  $F[X]/\langle p \rangle$  we construct in the second part the field  $(E \setminus \phi F) \cup F$  for a given monomorphism  $\phi : F \rightarrow E$  and show that this field both is isomorphic to  $F$  and includes  $F$  as a subfield. In the literature this part of the proof usually consists of saying that “one can identify  $F$  with its image  $\phi F$  in  $F[X]/\langle p \rangle$  and therefore consider  $F$  as a subfield of  $F[X]/\langle p \rangle$ ”. Interestingly, to do so we need to assume that  $F \cap E = \emptyset$ , in particular Kronecker’s construction can be formalized for fields  $F$  with  $F \cap F[X] = \emptyset$ .

Surprisingly, as we show in this third part, this condition is not automatically true for arbitrary fields  $F$ : With the exception of  $\mathbb{Z}_2$  we construct for every field  $F$  an isomorphic copy  $F'$  of  $F$  with  $F' \cap F'[X] \neq \emptyset$ . We also prove that for Mizar’s representations of  $\mathbb{Z}_n$ ,  $\mathbb{Q}$  and  $\mathbb{R}$  we have  $\mathbb{Z}_n \cap \mathbb{Z}_n[X] = \emptyset$ ,  $\mathbb{Q} \cap \mathbb{Q}[X] = \emptyset$  and  $\mathbb{R} \cap \mathbb{R}[X] = \emptyset$ , respectively.

In the fourth part we finally define field extensions:  $E$  is a field extension of  $F$  iff  $F$  is a subfield of  $E$ . Note, that in this case we have  $F \subseteq E$  as sets, and thus a polynomial  $p$  over  $F$  is also a polynomial over  $E$ . We then apply the construction of the second part to  $F[X]/\langle p \rangle$  with the canonical monomorphism

$\phi : F \longrightarrow F[X]/\langle p \rangle$ . Together with the first part this gives – for fields  $F$  with  $F \cap F[X] = \emptyset$  – a field extension  $E$  of  $F$  in which  $p \in F[X] \setminus F$  has a root.

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## 1. PRELIMINARIES

Now we state the propositions:

- (1) Let us consider a natural number  $n$ , and an object  $x$ . If  $n = \{x\}$ , then  $x = 0$ .
- (2) Let us consider a natural number  $n$ , and objects  $x, y$ . If  $n = \{x, y\}$  and  $x \neq y$ , then  $x = 0$  and  $y = 1$  or  $x = 1$  and  $y = 0$ .
- (3) Let us consider a natural number  $n$ . If  $1 < n$ , then  $0_{\mathbb{Z}/n} = 0$ .
- (4)  $1_{\mathbb{Z}/2} + 1_{\mathbb{Z}/2} = 0_{\mathbb{Z}/2}$ . The theorem is a consequence of (3).
- (5) Let us consider a ring  $R$ , and a non zero natural number  $n$ . Then  $\text{power}_R(0_R, n) = 0_R$ .

One can verify that  $\mathbb{Z}/3$  is non degenerated and almost left invertible and there exists a field which is finite and there exists a field which is infinite.

Let  $L$  be a non empty double loop structure. We say that  $L$  is almost trivial if and only if

(Def. 1) for every element  $a$  of  $L$ ,  $a = 1_L$  or  $a = 0_L$ .

Observe that every ring which is degenerated is also almost trivial and there exists a field which is non almost trivial.

Now we state the proposition:

- (6) Let us consider a ring  $R$ . Then  $R$  is almost trivial if and only if  $R$  is degenerated or  $R$  and  $\mathbb{Z}/2$  are isomorphic. The theorem is a consequence of (4).

Let  $R$  be a ring and  $a$  be an element of  $R$ . We say that  $a$  is trivial if and only if

(Def. 2)  $a = 1_R$  or  $a = 0_R$ .

Let  $R$  be a non almost trivial ring. One can verify that there exists an element of  $R$  which is non trivial.

Let  $R$  be a ring. We say that  $R$  is polynomial-disjoint if and only if

(Def. 3)  $\Omega_R \cap \Omega_{\text{PolyRing}(R)} = \emptyset$ .

2. SOME NEGATIVE RESULTS

Let  $R$  be a non almost trivial ring,  $x$  be a non trivial element of  $R$ , and  $o$  be an object. The functor  $\text{carr}(x, o)$  yielding a non empty set is defined by the term

(Def. 4)  $\Omega_R \setminus \{x\} \cup \{o\}$ .

Let  $a, b$  be elements of  $\text{carr}(x, o)$ . The functor  $\text{addR}(a, b)$  yielding an element of  $\text{carr}(x, o)$  is defined by the term

(Def. 5)  $\left\{ \begin{array}{ll} \text{(the addition of } R)(x, x), & \text{if } a = o \text{ and } b = o \text{ and} \\ & \text{(the addition of } R)(x, x) \neq x, \\ \text{(the addition of } R)(a, x), & \text{if } a \neq o \text{ and } b = o \text{ and} \\ & \text{(the addition of } R)(a, x) \neq x, \\ \text{(the addition of } R)(x, b), & \text{if } a = o \text{ and } b \neq o \text{ and} \\ & \text{(the addition of } R)(x, b) \neq x, \\ \text{(the addition of } R)(a, b), & \text{if } a \neq o \text{ and } b \neq o \text{ and} \\ & \text{(the addition of } R)(a, b) \neq x, \\ o, & \text{otherwise.} \end{array} \right.$

The functor  $\text{addR}(x, o)$  yielding a binary operation on  $\text{carr}(x, o)$  is defined by

(Def. 6) for every elements  $a, b$  of  $\text{carr}(x, o)$ ,  $it(a, b) = \text{addR}(a, b)$ .

Let  $a, b$  be elements of  $\text{carr}(x, o)$ . The functor  $\text{multR}(a, b)$  yielding an element of  $\text{carr}(x, o)$  is defined by the term

(Def. 7)  $\left\{ \begin{array}{ll} \text{(the multiplication of } R)(x, x), & \text{if } a = o \text{ and } b = o \text{ and} \\ & \text{(the multiplication of } R)(x, x) \neq x, \\ \text{(the multiplication of } R)(a, x), & \text{if } a \neq o \text{ and } b = o \text{ and} \\ & \text{(the multiplication of } R)(a, x) \neq x, \\ \text{(the multiplication of } R)(x, b), & \text{if } a = o \text{ and } b \neq o \text{ and} \\ & \text{(the multiplication of } R)(x, b) \neq x, \\ \text{(the multiplication of } R)(a, b), & \text{if } a \neq o \text{ and } b \neq o \text{ and} \\ & \text{(the multiplication of } R)(a, b) \neq x, \\ o, & \text{otherwise.} \end{array} \right.$

The functor  $\text{multR}(x, o)$  yielding a binary operation on  $\text{carr}(x, o)$  is defined by

(Def. 8) for every elements  $a, b$  of  $\text{carr}(x, o)$ ,  $it(a, b) = \text{multR}(a, b)$ .

Let  $F$  be a non almost trivial field and  $x$  be a non trivial element of  $F$ . The functor  $\text{ExField}(x, o)$  yielding a strict double loop structure is defined by

(Def. 9) the carrier of  $it = \text{carr}(x, o)$  and the addition of  $it = \text{addR}(x, o)$  and the multiplication of  $it = \text{multR}(x, o)$  and the one of  $it = 1_F$  and the zero of  $it = 0_F$ .

One can check that  $\text{ExField}(x, o)$  is non degenerated and  $\text{ExField}(x, o)$  is Abelian.

From now on  $o$  denotes an object,  $F$  denotes a non almost trivial field, and  $x, a$  denote elements of  $F$ .

Let us consider a non trivial element  $x$  of  $F$  and an object  $o$ . Now we state the propositions:

- (7) If  $o \notin \Omega_F$ , then  $\text{ExField}(x, o)$  is right zeroed and right complementable.
- (8) If  $o \notin \Omega_F$ , then  $\text{ExField}(x, o)$  is add-associative.

Let  $F$  be a non almost trivial field,  $x$  be a non trivial element of  $F$ , and  $o$  be an object. One can verify that  $\text{ExField}(x, o)$  is commutative.

Let us consider a non trivial element  $x$  of  $F$  and an object  $o$ . Now we state the propositions:

- (9) If  $o \notin \Omega_F$ , then  $\text{ExField}(x, o)$  is well unital.
- (10) If  $o \notin \Omega_F$ , then  $\text{ExField}(x, o)$  is associative.
- (11) If  $o \notin \Omega_F$ , then  $\text{ExField}(x, o)$  is distributive.
- (12) If  $o \notin \Omega_F$ , then  $\text{ExField}(x, o)$  is almost left invertible.
- (13) Let us consider a non trivial element  $x$  of  $F$ , and a ring  $P$ . Suppose  $P = \text{ExField}(x, \langle 0_F, 1_F \rangle)$ . Then  $\langle 0_F, 1_F \rangle \in \Omega_P \cap \Omega_{\text{PolyRing}(P)}$ .
- (14) There exists a field  $K$  such that  $\Omega_K \cap \Omega_{\text{PolyRing}(K)} \neq \emptyset$ . The theorem is a consequence of (7), (8), (10), (9), (12), (11), and (13).

In the sequel  $n$  denotes a non zero natural number.

- (15) There exists a field  $K$  and there exists a polynomial  $p$  over  $K$  such that  $\deg p = n$  and  $p \in \Omega_K \cap \Omega_{\text{PolyRing}(K)}$ . The theorem is a consequence of (7), (8), (10), (9), (12), (11), and (5).
- (16) There exists a field  $K$  and there exists an object  $x$  such that  $x \notin \text{rng}(\text{the canonical homomorphism of } K \text{ into quotient field})$  and  $x \in \Omega_K \cap \Omega_{\text{PolyRing}(K)}$ . The theorem is a consequence of (7), (8), (10), (9), (12), (11), and (13).

Let us note that there exists a field which is non polynomial-disjoint.

Let  $F$  be a non almost trivial field,  $x$  be a non trivial element of  $F$ , and  $o$  be an object. The functor  $\text{isoR}(x, o)$  yielding a function from  $F$  into  $\text{ExField}(x, o)$  is defined by

(Def. 10)  $it(x) = o$  and for every element  $a$  of  $F$  such that  $a \neq x$  holds  $it(a) = a$ .

One can check that  $\text{isoR}(x, o)$  is onto.

Now we state the propositions:

- (17) Let us consider a non trivial element  $x$  of  $F$ , and an object  $o$ . If  $o \notin \Omega_F$ , then  $\text{isoR}(x, o)$  is one-to-one.

- (18) Let us consider a non trivial element  $x$  of  $F$ , and an object  $u$ . Suppose  $u \notin \Omega_F$ . Then  $\text{isoR}(x, u)$  is additive, multiplicative, and unity-preserving. The theorem is a consequence of (7), (10), (8), (9), and (11).

Let us consider a non almost trivial field  $F$ . Now we state the propositions:

- (19) There exists a non polynomial-disjoint field  $K$  such that  $K$  and  $F$  are isomorphic. The theorem is a consequence of (7), (8), (9), (10), (11), (12), (13), and (18).
- (20) There exists a non polynomial-disjoint field  $K$  and there exists a polynomial  $p$  over  $K$  such that  $K$  and  $F$  are isomorphic and  $\deg p = n$  and  $p \in \Omega_K \cap \Omega_{\text{PolyRing}(K)}$ . The theorem is a consequence of (7), (8), (10), (9), (12), (11), (5), and (18).

### 3. AN INTUITIVE “SOLUTION”

Let  $R$  be a ring. We say that  $R$  is flat if and only if

- (Def. 11) for every elements  $a, b$  of  $R$ ,  $\text{rk}(a) = \text{rk}(b)$ .

One can check that there exists a ring which is flat.

Now we state the proposition:

- (21) Let us consider a flat ring  $R$ , and a polynomial  $p$  over  $R$ . Then  $p \notin \Omega_R$ . Note that every flat ring is polynomial-disjoint.
- (22) Let us consider a non degenerated ring  $R$ . Suppose  $0 \in$  the carrier of  $R$ . Then  $R$  is not flat.

One can check that  $\mathbb{Z}^{\mathbb{R}}$  is non flat and  $\mathbb{F}_{\mathbb{Q}}$  is non flat and  $\mathbb{R}_F$  is non flat.

Let  $n$  be a non trivial natural number. One can verify that  $\mathbb{Z}/n$  is non flat.

### 4. SOME POSITIVE RESULTS

Now we state the proposition:

- (23) Let us consider a ring  $R$ , a polynomial  $p$  over  $R$ , and a natural number  $n$ . Then  $p \neq n$ .

Let  $n$  be a non trivial natural number. Let us observe that  $\mathbb{Z}/n$  is polynomial-disjoint and there exists a finite field which is polynomial-disjoint.

- (24) Let us consider a ring  $R$ , a polynomial  $p$  over  $R$ , and an integer  $i$ . Then  $p \neq i$ . The theorem is a consequence of (23).

One can verify that  $\mathbb{Z}^{\mathbb{R}}$  is polynomial-disjoint.

- (25) Let us consider a ring  $R$ , a polynomial  $p$  over  $R$ , and a rational number  $r$ . Then  $p \neq r$ .

Observe that  $\mathbb{F}_{\mathbb{Q}}$  is polynomial-disjoint. Now we state the proposition:

- (26) Let us consider a ring  $R$ , a polynomial  $p$  over  $R$ , and a real number  $r$ .  
Then  $p \neq r$ .

Note that  $\mathbb{R}_{\mathbb{F}}$  is polynomial-disjoint and there exists an infinite field which is polynomial-disjoint.

Let  $R$  be a polynomial-disjoint ring. Let us observe that  $\text{PolyRing}(R)$  is polynomial-disjoint.

Let  $F$  be a field and  $p$  be an element of  $\Omega_{\text{PolyRing}(F)}$ . One can check that  $\frac{\text{PolyRing}(F)}{\{p\}\text{-ideal}}$  is polynomial-disjoint.

Let  $F$  be a polynomial-disjoint field and  $p$  be a non constant element of the carrier of  $\text{PolyRing}(F)$ . One can check that  $\text{PolyRing}(p)$  is polynomial-disjoint.

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